



23

05-13-02

10038054-050000  
\$110

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Wand et al.

:

: Group Art Unit: not assigned

Serial No.: 10/038,054

: Examiner: Not Assigned

Filed: January 3, 2002

For: High Polarization Ferroelectric  
Liquid Crystal Compositions

TRANSMITTAL

Assistant Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed  
March 14, 2002 included herewith are:

- Check in the amount of **\$1,362** (\$740 filing fee; \$130 declaration surcharge, \$324 for 18 claims over 20, \$168 for 2 independent claims over 3);
- Copy of Formalities Letter (Notice to File Missing Parts);
- Executed Joint Inventors' Declaration for Patent Application and Power of Attorney; and
- A substitute specification in compliance with 37 CFR 1.52.

If the enclosed amount is incorrect, please charge any deficiency or credit any overpayment to  
Deposit Account No. 07-1969.

Respectfully submitted,

*Susan K. Doughty*

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Docket No.: 75-99  
lta:5/8/2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D. C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,054	01/03/2002	Michael Wand	75-99

CONFIRMATION NO. 5769

23713  
GREENLEE WINNER AND SULLIVAN P C  
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SUITE 201  
BOULDER, CO 80303

## FORMALITIES LETTER



\*OC000000007644519\*

Date Mailed: 03/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$492.
  - \$324 for 18 total claims over 20.
  - \$168 for 2 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1362.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).

05/15/2002 AWONDAF1 00000083 10038054

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:102	168.00 OP
04 FC:103	324.00 OP

*A copy of this notice MUST be returned with the reply.*

*John S. M. V.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE